

K-7

HAND DELIVERED (2/99)

FEB 13 2009

KENTUCKY LEGISLATIVE ETHICS COMMISSION

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.

☐ Check here and attach additional sheets if necessary. _____ Number of sheets attached.

Please Include The Following Information For The Preceding Calendar Year:

Name Representative Jim Wayne

Business address Suite 1166 Medical Arts Building, Louisville, KY 40217

Business telephone (502) 451-8262

Home address 1280 Royal Avenue, Louisville, KY 40204

Title of public position, or office sought State Representative

Other occupations of filer President, Wayne Corp.

Occupations of spouse Retired

NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.

Positions held by filer in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Wayne Corp. President

Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation Retired from YUM! Brands

Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more Fidelity Equity Income Fund, US Treasury, BGMA Gold Corp., U.S. Global Investments, Citigroup, Aberdeen Global Income Fund, Asia Pacific Fund, Blackrock PFD and Equity Advantage, Central European & Russia Fund, John Hancock Greater China, Shares TR7, Latin American Equity Fund, Templeton Developing Markets Trust Fund, Pershing Govt. Acct. Federated Capital Reserves, Motorola, Ventas, John Hancock Regional Bank, John Hancock Financial Industries, Goldman Shares, PAX World Fund, Prudential Life Ins., Republic Bank Ins. Vanguard Long Term Bond Index, Vanguard, Pacific Stock Index Fund, Vanguard Precious Metals & Mining Fund, Annuity, Novagold RES. Inc., Share plus Federal Bank, YUM! Brands, Stock Yards Bank, Stable Value Fund, Bond Market Index Fund, Henry Co. farm, Toyota Motor Corp, High Riner Gold Mines LTD, Kinross Gold Corp., Pershing Gov't Acct., Alliance Bernatein Global Tech., Allianz Life Ins., John Hancock Money Market, Pacific RIM Mining Corp., Pegasus Gold Inc., Royal Bank of

Scotland Group, FED-Rated Capital Reserves, L&N Credit Union, Morgan Stanley, Japan Thai Cap Fund, Federated Signal Corp., US Global Investments, Wayne Corp.,
All Addresses for stocks and funds are available thru SES.

Sources and form of gross income of the filer (list sources by name) _____
Wayne Corp. (dividends and salaries)
State of Kentucky (salary)
Dividends from investments
Interest from outstanding loan and investments
Farm Income

Sources and form of gross income of the filer's spouse (list sources by name) _____
Same as above

Positions of a fiduciary nature in a business President, Wayne Corp.

A designation as commercial, residential, or rural, and the location of all real property other than the filer's primary residence, in which there is an interest of \$10,000 or more held by the filer, filer's spouse, or filer's minor children _____
Henry County Farm
Home in Louisville

Sources of gifts of money or property with a retail value of more than \$200 to the filer or the filer's immediate family, except those from a member of the filer's family. (Family means spouse, parent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, or grandchild. Immediate family means unemancipated child residing in an individual's household, spouse, or a person claimed by the filer's spouse as a dependent for tax purposes.) _____
None

The name of any creditor owed more than \$10,000 **except** debts arising from the purchase of consumer goods. (Goods used or bought for use primarily for personal, family, or household purposes) None

The name of any legislative agent who is:

1. A member of the filer's immediate family;
2. A partner of the filer, or a partner of a member of the filer's immediate family;
3. An officer or director of the filer's employer;
4. An employer of the filer or an employer of a member of the filer's immediate family;
5. A business associate of the filer or a business associate of a member of the filer's immediate family

#5 (see below)

The names of any of the filer's clients who are legislative agents or employers Carpenters Union, Electrical Workers Union, Catholic Conference, Home of Innocence, Sheet Metal Union, LG&E, Children's Alliance & Members, Brooklawn, Churchill Down, GLI, Inc., KY Hospital Association, KATA, Republic Bank, 7 Counties Services, Assoc. of Independent Colleges, Christian Care Communities, Greenbaum Doll & McDonald, Wyatt Tarrant & Combs, Distilled Spirits Counsel, KY Assoc. of Elec. Corp., Home Health Assoc. of KY, Assoc. of Healthcare Facilities, KY Bankers Assoc., Stith & Harbison, Woodward Hobsonfiller, Sullivan University, KY Coal Association, ALS-Schneider, Appriss, Boys Home, Child Place, CMTA, Dana Corp., D. D. Williamson, Fast Line Publications, Fire King, Girl Scouts, Labors Union, Louisville Bedding, Maryhurst, Masonic Home, NTH-Works, NTRA, Option Care, Riverfields, SHPS, St. Vincent Depaul Society, Trover Solutions, Ten Broeck Hospital, Underwater Safety and Claims, Wellspring, Whittenburg Construction, and YMCA.

If you have held a professional license during the filing period, has a properly licensed partner of yours engaged in the practice of cases or other matters which you are prohibited from practicing under KRS 6.744? ☐ Yes ☒ No ☐ Not Applicable

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.

Clients

N/A

State Agency

N/A

NOTICES

1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A misdemeanor.

Date

2-13-09

Filer 

Send completed statements to:

The Kentucky Legislative Ethics Commission
22 Mill Creek Park
Frankfort, Kentucky 40601
FAX (502) 573-2929

If you have questions please call us at (502) 573-2863.